

In re application of: Wang et al.  
Serial No.: 09/925,596  
Filed: August 9, 2001  
For: METHOD AND APPARATUS FOR AUTOMATICALLY UPDATING STOCK AND MUTUAL FUND GRAMMARS IN SPEECH RECOGNITION SYSTEMS

Group Art Unit: 2172  
Examiner: Ehichioya, Fred I.

Mail Stop Non Fee Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria VA 22313-1450

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APR 20 2004

Technology Center 2100

AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- [ ] Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.  
[ ] A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.  
[X] No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE OR RATE ADDIT. FEE
TOTAL	33* MINUS	33**	= 0	X 9	\$ 0 X 18 \$ 0
INDEP.	3* MINUS	3***	= 0	X 43	\$ 0 X 86 \$ 0
[ ] FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			X	145	\$ X 290 \$ 0
	TOTAL				OR TOTAL \$ 0
	ADDIT. FEE				\$ 0

\* If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

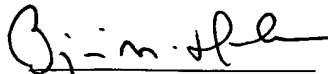
\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

- [ ] Please charge Deposit Account No. \_\_\_\_ in the amount of \$ \_\_\_\_ Two (2) copies of this sheet are enclosed.  
[ ] Please charge fee of \$ \_\_\_\_ for \_\_\_\_ by Credit Card Payment Form PTO-2038 enclosed herewith  
[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0679. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0679 therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

Respectfully submitted,

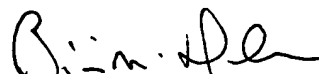
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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Mail Stop Non Fee Amendment, Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450 on April 14, 2004.

Dated: 4/14/04

  
Benjamin M. Halpern